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**ISO TC 46/SC 9 N 470**

2007-09-14

To: ISO/TC 46/SC 9 member bodies  
Consortium for the ISTC Registration Authority  
Participants in former SC 9 Working Group 3 (via ISTC-L)  
ISO/TC 46 Secretariat  
ISO Central Secretariat

From: ISO/TC 46/SC 9 Secretariat

**Subject: Final Report of Voting on ISO Draft International Standard 21047, "International Standard Text Code (ISTC)"**

Dear Colleagues,

Attached is the final report of the vote on Draft International Standard 21047 for the International Standard Text Code (ISTC).

DIS 21047 was approved by 100% of the 23 P-member countries that voted. There were 0 negative votes and 5 abstentions. Abstentions are not counted in the vote.

**The voting results met ISO's criteria for the approval of DIS 21047.**

Comments on DIS 21047 were received from the ISO member bodies for: Canada; Ukraine, the United Kingdom, and the U.S.A. The disposition of those comments is shown in the attached Annex B.

**ACTIONS TAKEN:**

- The SC 9 Chairperson and Secretariat, in consultation with the consortium that was selected as the future ISTC Registration Authority, agreed that DIS 21047 should proceed directly to publication as an ISO International Standard.
- The English and French texts of DIS 21047, as modified in response to comments, have been submitted to ISO for publication.

With regards,

*[original signed by]*

Jane Thacker  
Secretary, ISO/TC 46/SC 9



FINAL REPORT OF VOTING ON ISO/DIS 21047	
Closing date of voting 2005-06-01	ISO/TC 46 /SC 9
Secretariat SCC	

A report shall be returned to ISO/CS no later than 3 months after the closing date of voting on the DIS, whether or not comments have been reviewed and/or a new text has been prepared.

- Preliminary report**  
*(submitted in those cases where comments are still to be considered and/or a decision has not yet been taken, or where it is decided that the nature of comments indicates a need for further consultation and/or reversion to a previous project development stage). To be followed by a 'Final report'. Any preliminary report is for ISO/CS for information, and is not circulated to member bodies)*
- Final report**  
*(submitted either immediately, when all comments have been reviewed and a decision can be taken, or following a 'Preliminary report'. The final report is circulated by ISO/CS to member bodies, and is distributed with any associated DIS or FDIS text)*

<p><b>1 Result of the voting</b></p> <p>The above-mentioned document was circulated to member bodies with a request that the ISO Central Secretariat be informed whether or not member bodies were in favour of registration of the DIS as a Final Draft International Standard or for publication in the case of unanimous approval.</p> <p>The vote closed on the date indicated above. The replies listed in annex A have been received.</p> <p><b>2 Comments received</b></p> <p><b>3 Observations of the secretariat</b></p> <p><b>4 Decision of the Chairman:</b></p> <p><b>Preliminary report</b> (no annexes required)</p> <ul style="list-style-type: none"><li><input type="checkbox"/> The comments are under review and/or a decision on further procedure has not yet been taken</li><li><input type="checkbox"/> The project is to revert to the Preparatory Stage (a new working draft will be developed)</li><li><input type="checkbox"/> The project is to revert to the Committee Stage (a new committee draft will be developed)</li></ul> <p><b>Final report</b></p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Having received 100% approval from the member bodies voting, the DIS is approved for direct publication without change other than editorial (no FDIS vote) <i>(Option not applicable to projects progressing under the Vienna Agreement)</i></li><li><input type="checkbox"/> A revised text is to be submitted to ISO/CS for the approval procedure (FDIS vote)</li><li><input type="checkbox"/> A revised text is to be submitted to ISO/CS for a further enquiry (DIS) vote</li></ul>	} See annex B.
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**Remarks** (e.g. observations on how comments were reviewed, date by which a decision is to be taken, date when a text is expected)

**See Annex B for comments on DIS 21047 and responses (based on consultation with the consortium partners for the nominated Registration Authority).**

**Enclosures**

- Annex A: Table of replies received**
- Annex B: Comments on DIS 21047 from ISO member bodies and responses from the RA consortium**
- Annex C: Revised version of DIS 21047 as submitted for publication. Changes to the DIS are highlighted in yellow.**

Signature of the Secretary <i>[Original signed by]</i> <b>Jane Thacker</b> Date 2007-09-14	Signature of the Chairman <i>[Approved via e-mail by]</i> <b>Brian Green</b> Date 2007-07-12
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**Table of replies from the vote on ISO Draft International Standard 21047,  
International Standard Text Code (ISTC)**

**P-members voting:** 23 in favour out of 23 = 100% [requirement: >= 66.66%]  
**All member bodies voting:** 0 negative votes out of 23 [requirement <= 25%]  
**Abstentions:** 5

Country	Member	Status	Vote
Australia	SA	P Member	Approval
Austria	ON	O Member	Abstention
Bulgaria	BDS	P Member	Approval
Canada	SCC	P-Member / Secretariat	Approval with comments
China	SAC	P Member	Approval
Colombia	ICONTEC	P Member	Approval
Croatia	DZNM	P Member	Abstention
Czech Republic	CNI	P Member	Approval
Denmark	DS	P Member	Approval
Finland	SFS	P Member	Approval
France	AFNOR	P Member	Approval
Germany	DIN	P Member	Abstention
Hungary	MSZT	P Member	Approval
Italy	UNI	P Member	Approval
Japan	JISC	P Member	Approval
Kenya	KEBS	P Member	Approval
Netherlands	NEN	P Member	Approval
Norway	SN	P Member	Approval
Poland	PKN	P Member	Abstention
Portugal	IPQ	P Member	Approval
Russian Federation	GOST R	P Member	Approval
South Africa	SABS	P Member	Approval
Spain	AENOR	P Member	Approval
Sweden	SIS	P Member	Approval
Switzerland	SNV	P Member	Abstention
Ukraine	DSSU	P Member	Approval with comments
United Kingdom	BSI	P Member	Approval with comments
U.S.A.	ANSI	P Member	Approval with comments

ISO Member Body	Clause number, etc.	Paragraph, etc.	Type of comment	Comment (justification for change) by the Member Body	Proposed change by the Member Body	Response to comment
CA	Annex B.2.5		ge	The cost structure for ISTC registrations should be universally affordable to assure the highest uptake of the system. Cost models for ISTC should accommodate and encourage the use of ISTC for items such as newspaper articles which are also part of the repertoire of textual works managed by collecting societies.		No change to text.  Reply from the Registration Authority: "The ISTC Consortium shares the goal of market penetration and widespread use of the standard and will endeavour to establish an affordable cost structure. It regards publishers and rights holders as major beneficiaries of the benefits of deploying the system and likely to be willing and able to bear appropriate costs".
CA	Annex E.4.1	Figure E.9, page 19	ed	There seems to be a typo on p.19 in figure E.9. The top right box which reads "Recorded reading of <<Textual work: a poem>> ISTC #N ..." should read "... ISTC #A" if it is referring to the box on the top left of Figure E.9.	Change the caption to: « Recorded reading of « Textual work: a poem » ISTC #A by « Performer » in a given place at a given date » produced by « Producer » <b>ISRC #B</b>	The correction has been made, i.e. ISTC #N was changed to ISTC #A.
GB	3.14 E.4.3	Figure E.13	ge	The use of the word 'predominantly' in the definition of (textual) work appears at odds with the example shown in Fig E.15 [sic]. If ISTC is intended to identify the combination of a textual work with a graphic work, then examples should be given of what is to be included and excluded.	Provide examples of included and excluded items either beneath the definition or in Annex A	Annex A.1.5 reiterates that to be eligible for an ISTC a work must be predominantly composed of words. Where necessary, additional guidance and/or examples will be provided by the Registration Authority in user and agency manuals for implementation of ISTC.
GB	Annex E	Figure E10	ed	Opera CDs normally include the libretto in the original language (ISTC #A) as well as any translation (ISTC#F)	Include an additional link between ISTC#A and the manifestation	No change. In this particular example the only accompanying material is the translated libretto so a direct link between the CD

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2 **Type of comment:** **ge** = general **te** = technical **ed** = editorial

Comments on DIS 21047, International Standard Text Code (ISTC)

Date: 2007-09-14

Document: ISO/TC46/SC9 N 470, Annex B

ISO Member Body	Clause number, etc.	Paragraph, etc.	Type of comment	Comment (justification for change) by the Member Body	Proposed change by the Member Body	Response to comment
						and the original libretto would not apply in this particular case.
UA	Annex D	Tables	ed	ISO/IEC Directives, Part 2, 2004	Structure the Tables in accordance with 6.6.6.2 of ISO/IEC Directives, Part 2, 2004	The tables have been numbered and a sentence has been added in the text referring to the number of the table that follows.
UA	Annex E		ed	Hanging paragraph	Add title of first paragraph. For example "E.1 General"	The first paragraph has been labelled "E.1 General" and subsequent clauses in Annex E have been renumbered.
UA	E.4.1	Notes	ed	Not numbered notes	The notes should be numbered in accordance with 6.5.1 of ISO/IEC Directives, Part 2, 2004	The notes have been numbered 1 through 3 at what is now E.5.1.
UA	E.4.2	Note 1	ed	Typo	Change "Note 1" to "Note"	The change has been made at what is now E.5.2.
US	general		ge	Conceptually, the idea [of an ISTC] has great appeal, and we see no real downside, however some reviewers expressed scepticism about whether it would ever be widely used. It is not clear what the advantages are for the publishers, who will create and maintain these codes and associated metadata. There was some thought it might be useful in rights management, however, there are other ways being developed for rights, which will probably be more useful.		No change to the text.  The RA consortium recognizes the need to promote the benefits of ISTC to potential user communities.
US	general		te	The standard needs to make it clearer which works are eligible for an ISTC	Include a more extensive list of examples of works with various textual components and indicate which of them would be eligible.	No change to the standard. More extensive and specific guidance will be provided by the Registration Authority in user and agency manuals for implementation of ISTC.
US	general		te		Consider more harmonization with FRBR. IT is mentioned only in the list of resources (number 10 [renumbered as 11]).	No change to text. The extent of harmonization with FRBR was carefully considered by the ISTC Working Group through comments on earlier versions of the draft standard. The conclusion was that it would not

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						be possible to accommodate the same rigorous distinction between "works" and "expressions" in assigning ISTC to abstract textual entities that a purely FRBR approach would require.
US	1. Scope	3rd para.	te	Confusing language where it says ISTCs won't be added to manifestations "based on the content of the work." but the content of the work is exactly what the ISTC is being assigned to.	Delete the ending phrase "based on the content of the work."	Agreed. The phrase was deleted.
US	3.9		te	In the definition of textual manifestation, it is not right to say "regardless of physical form" as the physical form is a key attribute that identifies the manifestation.	Delete the ending phrase "regardless of its physical form".	Agreed. The phrase was deleted.
US	4.1		te	The length of the number may be too limited in the registration agency element but we have no objection to starting with this particular configuration.	Consider increasing the number of digits in the ISTC.	No change to text. The Registration Authority consortium has considered this matter and does not believe there is any need to expand the number of digits in the registration agency element of the ISTC.
US	B.2.6		ed	Readability	Remove the "the" after "favor of..."	Done [at renumbered B.2.f)]
US	B.2.7		ed	Grammar and readability	Replace "duplicative" with duplicate	Done [at renumbered B.2.g)]
US	B.3.3		ed	Readability	Remove "to" after the second instance of "ISTC" in the first sentence and place after (a) "to registrant..."	No change.
US	B.3.5		ed	Readability	Reword as follows: "Enter into registers the details of allocated ISTCs and their associated ISTC metadata and administrative metadata."	The text has been changed to: "Enter into registers the details of each allocated ISTC and its associated ISTC metadata and administrative metadata."
US	E4.1	NOTE 2	ed	Singular plural mismatch	Change "publications" to the singular: "publication".	Done.
US	E4.1	Figure	ed	Consistency in formatting.	In the lower box on the right of the diagram	Done.

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		E10			UPC/EAN should be in bold.	
US	various		te	<p>While it is said that the ISTC uniquely will identify a text (see scope), there are some passages which appear to conflict with that requirement:</p> <p>D2 indicates title as an essential metadata element; 3.15 states a title "<u>usually</u> appears" and "<u>normally</u> distinguishes it from others".</p> <p>3.8 indicates the ISTC metadata "allows one textual work identified with an ISTC to be distinguished from any other". A.1.5 states "the declared metadata for any textual work at the time of registration shall contain at least one element pertaining to the work itself that distinguishes it from every other textual work" but then goes on to say "If two entities share identical ISTC metadata, they shall be treated as the same textual work and shall have the same ISTC."</p>	Clarify / resolve statements regarding "uniqueness" of a textual work and the related ISTC metadata..	<p>No change to text. Annex D.2 accommodates several types of title and thus there is no conflict with the ISO 5127 definition of title used at 3.15.</p> <p>ISTC registration data must contain at least one element that distinguishes a work from others in the ISTC registration system (as per A.1.5). If the incoming registration data is not unique, the system would assume that the work has already been registered and would show the ISTC that has already been associated with that combination of metadata.</p>
**	1		te	This text is not a Scope; it is an Introduction	Put this text in the preliminary pages as an Introduction then supply a proper Scope	The 1 <sup>st</sup> paragraph has been moved to an Introduction. The 2 <sup>nd</sup> and 3 <sup>rd</sup> paragraphs clearly indicate the scope of the ISTC and are similar to those in the Scope statements of other published SC9 standards.
**	2		ed	This introductory paragraph no longer applies	The applicable text is: "The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies."	The text has been changed.
**	B.2		ed	The requirements listed as B.2.1 to B.2.9 are not subclauses	Renumber B.2.1 to B.2.9 as a) to i) with B.2.8 a) and B.2.8 b) as h) 1 and h) 2	Done.
**	B.3		ed	The requirements listed as B.3.1 to B.3.10 are not subclauses	Renumber B.3.1 to B.3.10 as a) to j) with B.3.3 a) and B.3.3 b) as c) 1 and c) 2	Done.

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**	Annex D	Tables	ed	For the sake of clarity for the reader, these tables should be numbered	Number them Table D.1 to Table D.5	Done.
**	Annex E	First para.	ed	This is, in fact, a clause and therefore needs a title	Number it <b>E.1 General</b> then renumber Clauses E.1 to E.4 as E.2 to E.5	Done.
SC9 Sect.	Annex A	A.1.5	ed	A.1.5 covers two separate specifications concerning eligibility for registration.	Split A.1.5 into two separate subclauses.	Done. The 2 <sup>nd</sup> and 3 <sup>rd</sup> sentences of A.1.5 are now A.1.6 and remaining subclauses have been renumbered.
SC9 Sect.	Bibliography		ed		Add ISO 15706-2 to the bibliography. The ISO standard for the ISAN version identifier was published in 2007.	Done.

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